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PTO/SB/21 (08-03) Approved for use through 08/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE rthe Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/718.914 **TRANSMITTAL** Filing Date November 21, 2003 **FORM** First Named Inventor Gerald Jakuszeski Art Unit (to be used for all correspondence after initial filing) 3677 **Examiner Name** Not Assigned Attorney Docket Number 09-9540-6520-0000-4 Total number of pages, including this sheet: **ENCLOSURES** (Check all that apply) After Allowance communication ~ Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC 1 Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a **Proprietary Information** After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer **Extension of Time Request** Identify below): Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Dana Andrew Alden Individual name Signature Date April 29, 2004 CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Daga Andrew Alden		
Signature	Was Unkey alder	Date	April 29, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

(Complete (if applicable))

Date

Telephone 847-970-4645

April 29, 2004

PTO/SB/17 (10-03)
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Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

(\$) 1330.00 **TOTAL AMOUNT OF PAYMENT** 

SUBMITTED BY

Name (Print/Type)

Signature

Dana Andrew Alden

Complete if Known			
Application Number	10/718,914		
Filing Date	November 21, 2003		
First Named Inventor	Gerald Jakuszeski	-	
Examiner Name	Not Assigned		
Art Unit	3677		
Attorney Docket No.	09-9540-6520-0000-4		

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
Check Credit card Money Other None	3. ADDITIONAL FEES					
Deposit Account:		Entity				
Deposit Account 502318	Fee Code		Fee Code	Fee (\$)	Fee Description	Fee Paid
Number	1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit Account MacLean-Fogg Company	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Name The Director is authorized to: (check all that apply)	1053	130	1053	130	Non-English specification	
Charge fee(s) indicated below Credit any overpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
to the above-identified deposit account.	1251	110	2251	55	Extension for reply within first month	
FEE CALCULATION	1252	420	2252		Extension for reply within second month	
1. BASIC FILING FEE Large Entity Small Entity	1253	950	2253		Extension for reply within third month	
Fee Fee Fee Fee Description Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month	
Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee	1255	2,010	2255	1,005	Extension for reply within fifth month	
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) -0-	1452	110	2452	55	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1454	1,330			Acceptance of an unintentionally delayed	1330.00
Fee from					claim for prioirty	
Extra Claims below Fee Paid  Total Claims -20** = X	1502	480	2502		Design issue fee	
Independent Claims - 3** = X = =	1503	640	2503		Plant issue fee	
Multiple Dependent	1460 1807	130	1460		Petitions to the Commissioner	<del></del>
Large Entity   Small Entity		50	1807		Processing fee under 37 CFR 1.17(q)	$\vdash$
Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$)	1806 8021	180 40	1806 8021		Submission of Information Disclosure Stmt Recording each patent assignment per	
1202 18 2202 9 Claims in excess of 20	1809	770	2809		property (times number of properties) Filing a submission after final rejection	<del>                                     </del>
1201 86 2201 43 Independent claims in excess of 3	1009	770	2008	, 962	(37 CFR 1.129(a))	
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1204 86 2204 43 ** Reissue independent claims over original patent	1801	770	2801	385	Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	• • • • • • • • • • • • • • • • • • • •	
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SUBTOTAL (2) (\$) -0-  **or number previously paid, if greater; For Reissues, see above		*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 1330.00				.00
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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration No.

(Attorney/Agent)

46,475



## Attorney Docket No. 09-9540-6520-0000-4 Via 1<sup>st</sup> Class Mail

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors

Gerald Jakuszeski and David Harris

Serial Number:

10/718,914

Filing Date

November 21, 2003

Title

**U-bolt Assembly** 

Examiner

Not Assigned

Art Unit

3677

TO:

Mail Stop Petition

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Petition, Commissioner of Patents, P.O. Box, 1450, Alexandria, VA 22313-1450, on April 29, 2004.

Dana Alden

Registration No. 46,475

Date: 4-29-04

## PETITION TO ACCEPT AN UNINTENTIONALLY DELAYED CLAIM UNDER 35 U.S.C. § 120 FOR THE BENEFIT OF A PRIOR FILED APPLICATION PURSUANT TO 37 C.F.R. § 1.78(a)(3)

This petition is submitted pursuant to 37 CFR § 1.78(a)(3). In support thereof, Petitioner states as follows:

- 1. The specification of the present application contains a reference indicating that the present application is a continuation-in-part of pending Application Number 10/430,794, entitled Fastener Assembly, filed on May 5, 2003.
- 2. The specification of Application Number 10/430,794, entitled Fastener Assembly, filed on May 5, 2003 contains a reference indicating that it is a continuation-in-part of pending Application Number 09/933,312, entitled Locking Fastener Assembly filed on August 20, 2001.
- 3. The present application names David Harris as a co-inventor, who is also the sole inventor named in Application Number 09/933,312.

- 4. Applicants respectfully request that the Patent and Trademark Office accept, in the present application, an unintentionally delayed claim under 35 U.S.C. § 120 for the benefit of pending Application Number 09/933,312.
- 5. The entire delay between the date the claim was due under 37 C.F.R. § 1.78(a)(2) and the date of this petition is unintentional.
- 6. Enclosed herewith, please find a fee transmittal, which authorizes the Patent and Trademark Office to deduct the surcharge as set forth in 37 C.F.R. § 1.17(t), in the amount of 1,330.00.
- 7. Enclosed herewith, please find a preliminary amendment, which amends the specification to make a specific reference to Application Number 09/933,312, as required by 37 C.F.R. § 1.78(a)(2).

Respectfully Submitted,

Dated: April 29, 2004

Dana Alden (Reg. No. 46,475)

MacLean-Fogg Company 1000 Allanson Road Mundelein, Illinois 60060 (847) 970-4645